

11-22-04  
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20999 7590 11/02/2004

FROMMER LAWRENCE & HAUG  
745 FIFTH AVENUE- 10TH FL.  
NEW YORK, NY 10151

11/23/2004 DEMMANU2 00000014 09453763

01 FC:1501 1370.00 OP  
02 FC:8001 9.00 OP

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/453,763	12/02/1999	MOTOKI KATO	450100-02054	3027

TITLE OF INVENTION: DATA RECORDING AND/OR REPRODUCING APPARATUS, METHOD, AND SYSTEM AND RECORDING MEDIUM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$0	\$1370	02/02/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, HANH N	2662	370-468000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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1 HAUG LLP  
2 WILLIAM S. FROMMER  
3 DENNIS M. SMID

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SONY CORPORATION

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0320 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Dennis M. Smid*

Date November 19, 2004

Typed or printed name

DENNIS M. SMID

Registration No. 34,930

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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CERTIFICATE OF MAILING

	<u>Issue Fee Due</u>	<u>Publication Fee</u>
Serial No. : 10/602,232	Jan. 18, 2005	\$300.00
Attorney Docket : 450108-4519.3		
First Named Inventor : Tetsujiro Kondo		
Serial No. : 10/128,765	Jan. 21, 2005	\$300.00
Attorney Docket : 450100-03867		
First Named Inventor : Ryuichi Sako		
Serial No. : 10/005,029	Jan. 18, 2005	\$300.00
Attorney Docket : 450108-3249.3		
First Named Inventor : Tetsujiro Kondo		
Serial No. : 09/453,763	Feb. 2, 2005	No
Attorney Docket : 450100-02054		
First Named Inventor : Motoki Kato		
Serial No. : 09/957,935	Jan. 24, 2005	\$300.00
Attorney Docket : 450100-03460		
First Named Inventor : Koichiro Ogihara		

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on November 19, 2004 via "Express Mail # EV467847624US and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Bernett Shindman  
(Typed name of person mailing paper or fee)

Bert Shindman  
Signature

11/19/04  
Date